T FW .

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE APPLICATION OF:

VLADIMIR GRUSHIN ET. AL.

CASE NO.: PE0649USCNT1

APPLICATION NO.: 10/699,411

CONFIRMATION NO.: 2080

GROUP ART UNIT: 2813

EXAMINER: UNKNOWN

FILED: OCTOBER 30, 2003

FOR: ELECTROLUMINESCENT IRIDIUM COMPOUNDS WITH FLUORINATED

PHENYLPYRIDINES, PHENYLPYRIMIDENES, AND PHENYLQUINOLINES AND

DEVICES MADE WITH SUCH COMPOUNDS

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with 37 CFR 1.97 and 1.98, Applicants bring to the attention of the U.S. Patent and Trademark Office information listed on the enclosed PTO/SB/08. A copy of the required information is also enclosed.

Should any fee be required in connection with the filing of this Information Disclosure Statement, please charge such fee to Deposit Account No. 04-1928 (E. I. du Pont de Nemours and Company).

Respectfully submitted,

MARY/ANN CAPRIA

ATTORNEY FOR APPLICANTS

Registration No.: 32,659 Telephone: (302) 992-3749 Facsimile: (302) 892-7949

Dated:

Enclosures

10/699,411

TDANICMITTAL

PTO/SB/21 (02-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application Number

IKANSMITTAL	Filing Date	October 30, 2003			
FORM	First Named Inventor	Vladimir Grushin Et. Al.			
(to be used for all correspondence after initial filing)	Art Unit	2813			
	Examiner Name	Unknown			
Total Number of Pages in This Submission	Attorney Docket Number	PE0649USCNT1			
ENCL	OSURES (Check al	I that apply)			
	COUNTY (CHECK BI	After Allowance communication			
Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s)	Drawing(s) icensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Ferminal Disclaimer Request for Refund CD, Number of CD(s)				
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53					
· · ·	F APPLICANT, ATTO	PRNEY, OR AGENT			
Firm or Individual name Mary Ann Capria Signature Mary Ann Capria Date L0/4/200	AND COMPANY				
CERTIFICATE OF TRANSMISSION/MAILING					
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.					
Typed or printed name Diane M. Dick	Typed or printed name Diane M. Dick				
Signature Date Date Oct. 5, 2004					

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

ute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of Sheet

Complete if Known				
Application Number	10/699,411			
Filing Date	October 30, 2003			
First Named Inventor	VLADIMIR GRUSHIN			
Group Art Unit	2813			
Examiner Name	UNKNOWN			
Attorney Docket Number	PE0649USCNT1			

	U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite No.1		ocument Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US -	6,303,238 B1	10-16-2001	Thompson et al.		
		US-	6,670,645 B2	12-30-2003	Grushin et al.	_	
		US -	2001/0019782 A1	09-06-2001	lgarashi et al.		
		US -					
		US -					
		US -					
		US -					
		US -					
		US -				•	
		US -					
		US -					
		US -					
		US -					
		US -					
		US -					
		US -					
		US -					
		US -					
		US -					
		US -			,		

	FOREIGN PATENT DOCUMENTS							
Examiner Cite	Cite	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
Initials*		CountryCode ³ Number ⁴ Kind Code ⁵ (if known)				T ₆		
		EP 1 191 614 A2	03-27-2002	Canon Kabushiki Kaisha				
		WO 00/57676 A1	09-28-2000	Univ. of Southern California				
		WO 01/41512 A1	06-07-2001	Princeton Univ & University of Southern California				
		WO 02/02714 A2	01-10-2002	DuPont				
		WO 02/15645 A1	02-21-2002	Princeton Univ, Univ of So Cal & Universal Display				

Examiner	Date	**
Signature	Considered	

*EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \(^1\) Applicant's unique citation designation number (optional). \(^2\) See Kinds Codes of USPTO Patent Documents at www.uspto. or MPEP 901.04. \(^3\) Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \(^4\) For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \(^5\) Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \(^6\) Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO	Complete if Known			
INFORMATION DISCLOSURE	Application Number	10/699,411		
INFORMATION DISCLOSURE	Filing Date	October 30, 2003		
STATEMENT BY APPLICANT	First Named Inventor	VLADIMIR GRUSHIN ET. AL.		
	Group Art Unit	2813		
(use as many sheets as necessary)	Examiner Name	Unknown		

Sileet		Of 2 Automet Docket Number F2004305CN11	
	•	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		LAMANSKY, SERGEY ET AL., Highly Phosphorescent Bis-Cyclometalated Iridium Complexes: Synthesis, Photophysical Characterization, and Use in Organic Light Emitting Diodes, J. Am. Chem. Soc., 2001, 4304-4312, 123, American Chemical society	
		LAMANSKY, SERGEY ET AL., Synthesis and Characterization of Phosphorescent Cyclometalated Iridium Complexes, Inorg. Chem. 2001, 1704-1711, 40, American Chemical Society	
		LAMANSKY, SERGEY ET AL., Molecularly doped polymer light emitting diodes utilizing phosphorescent Pt(II) and IR(III) dopants, Organic Electronics, 2001, 53-62, 2, Elsevier Science B.V.	
		ABSTRACT OF JAPANESE PCT Publication WO02/44189 A1, Luminescent Element and Display, 06-06-2002, Canon Kabushiki Kaisha	
			, 🗆
Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Signature

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.